

Broker Accreditation Form

Bank of Sydney will confirm your accreditation by issuing you with a Broker Identification Number once all the relevant verifications have been completed.

1. Broker details

Title:	Given Name:	Surname:
Date of Birth:	E-mail:	
Residential Address: (PO Box not accepted)		
State:	Postcode:	

Business/Company Name:		
Office Address:		
	State:	Postcode:
Postal Address: (if different to Office Address)		
	State:	Postcode:
Telephone:	Fax:	Mobile:

Australian Credit Licence Number:	OR	Australian Credit Rep. Number:
MFAA membership Number:	OR	FBAA membership Number:

Have you ever been an employee of Bank of Sydney Ltd (or any previous related entity)?		
<input type="checkbox"/> No	<input type="checkbox"/> Yes	
If Yes, please state the Year you left, department you were working in:		

Privacy Notice

Bank of Sydney Ltd (BOS) collects and manages your personal information in compliance with the Australian Privacy Principles and Privacy Act 1988 (Cth) for the purposes of assessing your application for broker accreditation, managing your appointment as a broker and providing banking services to you where applicable. Your personal information may be disclosed to third parties for these purposes. We may also use your personal information for the purposes of direct marketing of products and services or products and services of any organisation affiliated or represented.

By signing this document you consent to us and some other entities collecting, using, holding and disclosing personal information about you.

You can find out more about:

- how we deal with your privacy;
- how you can request access to or seek correction of any personal information we hold about you; and
- how you may complain about a breach of an Australian Privacy Principle and how we will deal with such a complaint.

by viewing the Bank of Sydney (BOS) privacy policy at www.banksyd.com.au . If you do not provide us with consent or do not provide us with your personal information we will not be able to provide broker accreditation or banking services for you.

Broker Acknowledgement and Declaration of the following:

1. I understand the use of false names is prohibited by law, as well as the giving, use or production of false or misleading information of documents in connection with an identification procedure required by the Bank.
2. I consent to receive any notice or other communication relating to my accreditation with Bank of Sydney at the email address I nominate and agree that an electronic communication to that address will constitute notice to me in writing.
3. I acknowledge that if Bank of Sydney terminates my accreditation on any grounds including dishonesty or fraud, Bank of Sydney may report all the reasons for the termination, including copies of relevant documents to an applicable Industry Association and I will not hold the bank liable for reporting that fact.
4. I agree that I am responsible to promptly inform Bank of Sydney if there are any changes to my status as a credit licensee or as a Director/Employee or as a credit representative of a credit licensee.
5. I consent to the Privacy Notice above.

Broker Name:	Signature:	Date:
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2. Aggregator declaration

Full Name of Aggregator:
Company Name: (Referer)
Business Address:

1. I recommend that Bank of Sydney Accredit _____ *(insert name of broker)* to act as our nominee in accordance with our intermediary arrangements and agreement with BOS..
2. I confirm that the information provided in section 1 of this application is true and correct.
3. I confirm that the Broker:
 - has current Professional Indemnity Insurance;
 - is a member of an ASIC approved external dispute resolution scheme;
 - has satisfactorily completed Police, Credit and Reference checks, and
 - is a current member of MFAA/FBAA.
4. I agree to promptly inform Bank of Sydney if there is any change to the Broker licensing/registration status.

Authorised Officer of Aggregator

Print Name:	Signature:	Date:
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BANK USE ONLY

To be completed by a Business Development Manager or delegated Accreditation Officer of Bank of Sydney.

Please tick to verify completion

<input type="checkbox"/> Application complete	<input type="checkbox"/> Identification of individual Broker has been verified
<input type="checkbox"/> All Declarations signed	<input type="checkbox"/> Identification of Broker business has been verified

Broker has satisfactorily completed the accreditation process

Print Name:	Signature:	Date:
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To be completed by Bank of Sydney Third Party Operations

<input type="checkbox"/> Broker Identification number generated _____
<input type="checkbox"/> Email sent to broker and aggregator with Bank of Sydney broker number

Print Name:	Signature:	Date:
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